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Manual for the Motivational Interviewing Skill Code (MISC)

Version 2.0

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A. Introduction to MISC Version 2.0

The Motivational Interviewing Skill Code (MISC) was originally developed in 1997 as a method for evaluating the quality of motivational interviewing (MI) from audiotapes and videotapes of individual counseling sessions. The possible uses of MISC include:

- Documenting counselor adherence to MI within clinical trial protocols
- Providing detailed session feedback for counselors in the process of learning MI, including specific goals for improved skillfulness
- Evaluating the effectiveness of training in MI by comparing counselor skills before and after training
- Conducting psychotherapy process research to examine relationships among counselor and client responses
- Predicting treatment outcome from psychotherapy process measures
- Generating new knowledge about MI and its underlying processes of efficacy

Over years of using MISC 1 we have learned much about which categories were redundant or unreliable, and also about which processes are most important to the effectiveness of MI. The MISC has also helped us to clarify the points at which skill acquisition in MI is more challenging.

Based on this experience, we have developed Version 2.0, which is intended to improve on the original MISC in reliability, efficiency, and relevance to training and clinical practice. A disadvantage of revising an instrument, of course, is that one must start over in demonstrating its reliability and validity. Although many strong features of MISC 1.0 have been retained, we have also made substantive changes that we believe will further strengthen this instrument. In the interim, while we are studying the characteristics of this new version, it may be desirable for some purposes to continue using MISC 1, which has known psychometric properties. Section A outlines the significant changes that have been introduced with MISC 2.0, and the rationale for these changes.

As psychometric data for MISC 2.0 emerge and further refinements are made, we will be issuing subsequent revisions. When revisions are minor, we will retain the same version number (e.g., 2.0) and give the date of update. As significant revisions are made that affect coding, we will change the version number designation (2.1, 2.2 etc.). Before making use of this coding system, check to make sure that you have the most current version. Information is posted on the Motivational Interviewing website at www.motivationalinterview.org.

A.1 Changes in the Structure of MISC

MISC Version 1 required three “passes” through each tape: (1) an initial pass for completing global rating scales; (2) a second pass in which each counselor and client utterance was classified within a behavior code; and (3) a final pass in which counselor and client talk time were recorded. In MISC 2.0 we no longer include the third pass for timing of relative counselor and client talk time. We found that the timing pass was not cost-effective. It yielded relatively little information for the additional time required, and did not add to the predictive utility of MISC. Investigators who are particularly interested in client and counselor talk time may, of course, still choose to include this timing pass. We found that recording client and counselor talk time had very high inter-rater reliability (usually >.95).
MISC 2.0 retains two separate passes. In the first pass, the coder listens to the entire session without interruption, and then completes a set of global rating scales. Three global dimensions described in MISC 1.0 have been retained, based on their reliability and discriminability: Acceptance, Empathy, and Spirit. Prior ratings of client functioning have been combined into a single global rating called Self-Exploration.

In the second pass, specific behavior classifications are completed for all client and counselor utterances. The response categories used to describe counselor behavior were all contained in the original MISC, but some behavior categories from version 1.0 have been deleted, and some definitions have been sharpened. A major change in categories related to client speech is the primary reason for MISC 2.0.

It would be conceivable, of course, to do just one pass in which behavior classifications are completed, then at the end of that pass to complete the global ratings. We have chosen to retain two separate passes for three reasons. (1) The first pass allows the global ratings to be uncontaminated by behavior counts. (2) The first pass provides an uninterrupted overall perspective on the session, which we believe is helpful in making accurate global ratings. (3) If the same coder performs both passes, the first pass provides a contextual perspective within which to complete the behavior codes. It remains to be determined whether in fact global ratings are biased by prior completion of behavior coding, or whether second pass codes are any different when done by coders who did (versus did not) complete the first pass.

Important new knowledge regarding the psycholinguistics of MI emerged from research directed by co-author Paul Amrhein. Previously we had been successful in predicting (lack of) behavior change from client resistance levels (e.g., Miller, Benefield & Tonigan, 1993). Mean levels of client “change talk,” however, rather consistently failed to predict behavior change – an important problem for the theory of motivational interviewing (Miller & Rollnick, 2002). Amrhein’s research revealed why change talk, as we were coding it in MISC 1, failed to predict behavioral outcomes (Amrhein et al., 2003). First, our definition of change talk included a wide range of statement types, including those reflecting desire, ability, reasons, need, and commitment to change. Of these, only commitment language predicted behavior change. The other four (desire, ability, reasons, and need) predicted the occurrence of subsequent committing language, and thus influenced behavior change indirectly. This finding paralleled what were previously described as “Phase 1” and “Phase 2” of MI (Miller & Rollnick, 1991). In Phase 1, the goal is to enhance motivation for change (e.g., by evoking client speech regarding desire, ability, reasons and need to change). In Phase 2 of MI, the goal shifts to strengthening commitment to change (i.e., evoking client committing speech). We had not differentiated these tasks before, and separate attention to committing speech turns out to be important.

Second, we learned from Amrhein’s work that it is not the mean level of committing speech that predicts behavior outcomes, but rather its slope across the course of an MI session. Behavior change is best predicted by a positive slope (increase) in the strength of committing language over the course of MI. We also learned that it is the strength and not frequency of committing language that is important.

Finally, we discovered that we had been focusing on the wrong section of MI sessions. In the interest of cost-effectiveness, we had chosen to code the first 20 minutes of each MI session, assuming that this would be representative of the entire session. In fact, Amrhein found that strongest prediction of behavioral outcomes came from client speech toward the end of the session (again reflecting positive slope). Client commitment level at the beginning of an MI session did not predict the probability of behavior change. Thus we had been studying the wrong statistic (mean instead of slope) for the wrong variable (frequency rather than intensity) of the wrong behavior (generic change talk versus committing speech) at the wrong point in the session (beginning instead of end). We now recommend coding the entire MI session with MISC so that dynamic patterns of this kind are not missed.

The fact that slope rather than mean predicts behavior change suggested another modification to the MISC. In the second pass of MISC 1, we had simply kept a tally of the total number of responses within each
behavior category across the entire coding period. This prevented us from examining behavior at different points in the session. Rather than keeping categorical counts, we now recommend sequential recording of behavior codes, retaining the order in which they occurred. For the coder, this differs very little from tallying. In both cases the coder must make a decision about the behavior classification for each utterance. In MISC 1 the coder placed a mark in a count box for the chosen behavior; in MISC 2 the coder simply records the chosen behavior code. The process of data entry is somewhat more complex with sequential coding, in that all individual codes must be entered in order (rather than simply entering one frequency count for each code). Once this is done, however, the data can be used in various ways including:

- Response frequency counts for each behavior code across the entire session
- Response frequency counts or intensity means for identified portions of the session; e.g., for quartiles or deciles of the session, defined by the number of “turns” taken by client and counselor
- Conditional probability analyses (e.g., when the client emits committing speech, what is the counselor’s next utterance) for an individual counselor or group of counselors

Inter-coder reliability can be evaluated at any of these or other levels. Reliability at the level of individual responses requires transcription of the session and identification of each utterance so that coder responses can be compared directly. Reliability for behavior counts or intensity ratings can be done without transcripts, for a whole session or for portions thereof. An unanswered question at present is the relative reliability of such coding from transcripts versus from listening to a session tape. If investigators prefer, of course, MISC 2.0 could be used in a manner similar to MISC 1 - entering tallies for the (new) specific behavior categories rather than retaining sequential information.

B. Coding Instructions: First Pass Global Ratings

The MISC 2.0 is designed for rating an interview between two individuals, identified in this manual as the Counselor and the Client. Many other descriptors could be used for the counselor (e.g., clinician, doctor, interviewer, practitioner, counselor) or client (e.g., consumer, patient, student). These particular terms are used here simply for convenience and consistency.

B.1 Global Counselor Ratings

A global score requires the coder to assign a single number from a seven-point scale to characterize the entire interaction. The first pass of MISC 2.0 includes counselor ratings on three dimensions: Acceptance, Empathy and Spirit. Global scores are intended to capture the rater’s overall impression of the counselor’s performance during the interview. While this may be accomplished by combining a variety of elements, the rater’s gestalt or all-at-once judgment is paramount. The global scores should reflect a holistic evaluation of the counselor, one that cannot necessarily be separated into individual elements. Global scores are given on a 7-point Likert scale, with the coder assuming a beginning score of 4 and moving up or down from there.

Specific Guidelines:

- All ratings on this form are on a 7-point Likert scale.
- Ratings should be based primarily on the counselor's behavior during the observed session.
- Circle one and only one number for each item, and do not leave any item blank. Do not make ratings that fall between the whole numbers.
- These are global ratings, based on the entire interview or sample. Thus, for example, a rating of empathy is given for the whole interview, which might combine longer periods of high empathy and a few periods of low empathy.
It is helpful to note examples of Empathy, Acceptance and Spirit on the Global Counselor Rating sheet as you listen to the session.

Acceptance

This rating captures the extent to which the counselor communicates unconditional positive regard for the client. A rating should be made starting at 4, and moving toward either the high (7) or low (1) end of the scale based on the following criteria:

**High Acceptance.** Counselors high on this scale consistently communicate acceptance and respect to the client. They may be perceived as warm and supportive, but the key attribute is to communicate unconditional positive regard for the client.

**Low Acceptance.** Counselors at the low end of this scale consistently communicate non-acceptance, disregard, or disapproval of the client. They may be perceived as judgmental, harsh, disrespectful, labeling, or condescending.

**Differentiating Acceptance from other counselor characteristics.** Acceptance is person-focused (unconditional positive regard) and should not be confused with agreeing with the client’s opinions or approving of the client’s behavior. A counselor may:

- Respect a client’s opinions without agreeing with them (acceptance vs. agreement)
- Accept a client’s choices without approving of them (acceptance vs. behavioral approval)
- Support the client as a worthwhile human being without either condoning or condemning the client’s actions and views (acceptance vs. judgment)

Empathy

This rating is intended to capture the extent to which the counselor understands and/or makes an effort to accurately understand the client’s perspective. A rating should be made starting at 4, and moving toward either the high (7) or low (1) end of the scale based on the following criteria:

**High Empathy.** Counselors high on this scale show an active interest in making sure they understand what the client is saying, including the client’s perceptions, situation, meaning, and feelings. The counselor accurately follows or perceives a client’s complex story or statement or probes gently to gain clarity. Reflective listening is an important part of empathy, but this global rating is intended to capture all efforts by the counselor to understand accurately the client’s perspective and convey that understanding back to the client. Nevertheless, a high rating on Empathy requires more than question-asking, and reflects skillful use of reflective listening.

**Low Empathy.** Counselors at the low end of this scale show little interest in the client’s own perspective and experiences. There is little effort to gain a deeper understanding of complex events and emotions. Counselors low in empathy may probe for factual information or to pursue an agenda, but they do not do so for the sole purpose of understanding their client’s perspective. Reflective listening is noticeably absent.

**Differentiating empathy from other counselor characteristics**. Empathy is not to be confused with warmth, acceptance, genuineness or client advocacy. These characteristics are independent of the empathy rating. It is possible for a counselor to:
Work very hard to understand the client’s perspective but not be especially warm or friendly while doing so. (empathy vs. warmth)

Understand fully without accepting the client’s perspective. (empathy vs. acceptance)

Be fully present and authentic, but not make efforts to understand the client’s perspective. (genuineness vs. empathy)

Be invested in helping the client or gaining services for them without a particular effort to understand the client’s perspective (client advocacy vs. empathy)

**Motivational Interviewing Spirit**

This rating is intended to capture the **overall competence of the counselor in using motivational interviewing**. It explicitly focuses on the three inter-related characteristics of **collaboration**, **evocation**, and **autonomy**. The rater should consider all three of these characteristics when assigning a value for this scale, and low scores in any of these dimensions should be reflected in a lower overall spirit score. Nevertheless, the global spirit rating is intended to capture the whole *gestalt* of the counselor’s competence without too much “picking apart” of the scale’s components. A rating should be made starting at 4, and moving toward either the high (7) or low (1) end of the scale based on the following criteria:

**High MI Spirit.** Counselors at the highest end of this scale clearly manifest all three of the following characteristics in the session:

- **Collaboration** is apparent when counselors negotiate with the client and avoid an authoritarian stance. Counselors show respect for a variety of ideas about how change can occur and can accept differences between their ideal plan and what clients are willing to endorse. They avoid persuasion and instead focus on supporting and exploring the client’s own concerns and ideas. These counselors minimize power differentials and interact with their clients as partners.

- **Evocation** is apparent when counselors draw out the client’s perspectives rather than “installing” the counselor’s knowledge, insights and advice. They do not educate or give opinions without permission. They are curious and patient. They give the client the benefit of the doubt about wanting to change and show a focused intent to draw out the client’s own desire and reasons for changing. Counselors high in evocation show an active interest in helping clients say to themselves the reasons that change can and should happen.

- **Autonomy-supportive** counselors accept that clients can choose not to change. They may be invested in specific behavior changes, but do not push for an immediate commitment at the expense of “taking the long view” about the option of change in the future. They emphasize the client’s freedom of choice, and convey an understanding that the **critical variables for change are within the client and cannot be imposed** by others.

**Low MI Spirit.** Counselors at the lowest end of this scale clearly manifest low levels of collaboration, evocation, and support for autonomy:

- **Low Collaboration** is evident when counselors **confront** clients with their point of view. An **authoritarian and rigid** stance is apparent and little effort is made to include the client’s ideas about how change might be accomplished. Low collaboration counselors attempt to **persuade** clients about the need for change. These counselors seem to view their clients as deficient in some manner and attempt to provide what is missing, often using an “expert” stance to do so.
These counselors convey a sense of having **expertise** the client needs in order to make a change.

**Low Evocation** is evident when the counselor shows little or no interest in exploring the client’s own reasons for change. They may convey an attitude of **suspicion or cynicism** about the client’s desire to change. They may focus on giving information and advice, educating the client or giving logical reasons for changing. These occur at the expense of arranging conversations so that the client talks himself or herself into changing.

**Low Autonomy** counselors communicate a lack of acceptance that clients might choose to avoid or delay change. They convey a **sense of urgency** about the need for change, and may use imperative language, telling clients what they “must” or “have to” do. Little emphasis or acknowledgment is given to the client’s freedom of choice and self-determination.

*Differentiating MI spirit from other characteristics.* Motivational Interviewing Spirit is not to be confused with sympathy, expertise, education, skills-building, uncovering unconscious motivations or spiritual guidance. A counselor might:

- Feel sad that the client has so many burdens, without conveying a sense that the counselor can solve them. (sympathy vs. MI spirit)
- Be able to give excellent advice to the client about how to solve problems, but fail to ask the client what he or she has already thought of. (expertise vs. MI spirit)
- Help clients replace irrational thoughts about the benefits of continuing in a maladaptive behavior, rather than explore the client’s perceived benefits. (skill-building vs. MI spirit)
- Probe developmental antecedents of the client’s need for a behavior, rather than asking about how this behavior is consistent or inconsistent with the client’s current values and goals. (uncovering unconscious motivations vs. MI spirit)
- Help the client to contact or utilize spiritual resources to assist in changing, rather than using reflective listening and open questions to determine the client’s strengths and successes (spiritual guidance vs. MI spirit)
B.2 Global Client Rating

The MISC 2.0 uses a single global rating of client Self-Exploration during a treatment session. This rating closely parallels the construct of experiencing used by Truax and Carkhuff in the study of client-centered therapy. The rating should reflect the client’s high point during the session. This is a period (more than momentary) that reflects the client’s highest level of self-exploration during the session. Because client’s behavior often changes markedly over the course of a session, this is not meant to be an average across the entire session.

Specific Guidelines:

- The rating is made on a 7-point Likert scale. Assign the rating that best describes the client’s high point of self-exploration during the session.
- The rating should be based primarily on the client’s behavior during the observed session.
- Circle one and only one number, and do not leave this item blank. Do not make a rating that falls between the numbers.
- It is helpful to note examples of self-exploration and personally relevant material on the rating sheet as you listen to the session.

Client Self-Exploration (based on Truax & Carkhuff)

Rating Description

1. No personally relevant material is revealed or discussed by the client during the session.

2. The client avoids bringing up personally relevant material but may respond minimally if the counselor brings it up.

3. The client may respond to and elaborate on personally relevant material that is brought up by the counselor, but does not add significant material or volunteers information in a mechanical manner or without demonstration of emotional feeling.

4. The client elaborates on or volunteers personally relevant material with either spontaneity (not directly solicited by the counselor) or feeling, but not both.

5. The client elaborates on personally relevant material with both spontaneity (not directly solicited by the counselor) and feeling.

6. The client explores and discusses personally relevant material, discovering new feelings, perspectives, or personal meanings.

7. The client engages in active intrapersonal exploration, openly exploring values, feelings, relationships, fears, turmoil, life-choices, and perceptions of others. Clients may experience a shift in perception.
Defining “Personally Relevant Material” in Coding Self-Exploration

Personally relevant material may include expression or exploration of the following:

- Personal problems
- Self-descriptions that reveal the self to the counselor, expressions of the internal world
- Personally private material which when revealed tends to make the client more vulnerable or could be personally damaging
- Personal values, life choices
- Expression of feelings
- Personal roles, perception of one’s relationship to others
- Perception of self worth
C. Coding Instructions: Second Pass Behavior Counts

C.1 Counselor Behavior Counts

Behavior counts are intended to capture specific behaviors without regard to how they fit into the overall impression of the counselor’s use of MI. While the context of the exchange will have some influence on the rater, behavior counts will generally be determined as a result of categorization and decision rules (rather than attempting to grasp an overall impression). Relying on inference to determine a behavior count is to be avoided.

C.2. Defining Counselor Utterances

- An utterance is a complete thought.

- An utterance ends either when one thought is completed or a new thought begins with the same speaker, or by an utterance from the other speaker.

- If two consecutive sentences merit different codes (e.g., a Reflection followed by a Question), they are, by definition, separate utterances. **Example:** “So you feel confident that you can quit. What gives you that confidence?”

- Two utterances often run together without interruption, as with a sentence that contains more than one thought. **Example:** “You seem disappointed that you haven’t quit, but you’ve made a fantastic effort.” This is one sentence that is both a Reflection and an Affirm and should receive multiple codes.

- A client response always terminates a counselor utterance, and the next counselor utterance becomes a new response.

**Examples:** (Counselor in normal type- Client in bold type.)

“So you’ve cut down by ten cigarettes a day (Yes) and you smoke more in the morning than in the afternoon. Reflect/ Follow Neutral/ Reflect

“It’s not easy (No, it’s not) to quit.” Support/ Follow Neutral

“You feel like you can (Yes) do this.” Reflect/ Change talk

“So you’ve told me that you don’t like the smell of cigarettes, (Yeah), the expense (Uh-huh) and what they do to your health.” (Right).

Summary/ Change talk/ Summary/ Change Talk/ Summary/ Change Talk

C.3. Coding Counselor Utterances

- Once an utterance is complete decide in which of the main behavior categories it belongs. In some cases, sub classification is required within a category.

- The tape may be stopped in order to deliberate carefully.

- Each utterance receives one and only one code. The same utterance may never be given two different codes.

- Separate utterances, even if they occur within the same sentence, may each receive a separate code.

“Good morning, Susan./ Thank you for taking the time to speak with me this morning./ I’d like to start by talking about our last conversation./ Does that sound ok to you?”

(Filler/ Affirm/ Structure/ Closed Question)
C.4. Volleys: Definition

A volley is an **uninterrupted sequence of utterances** by one party, before another party speaks.

“It isn’t my job to force you to quit or cut down. That’s totally up to you. Only you know what’s right for you. We’ll meet every week during the study, but again whether or not you decide to make a change is your decision.”

A volley is terminated when the other party speaks.

C.5. Coding of Volleys

-A volley may contain only one of each behavior code. Once a behavior count is assigned within the volley it is not assigned again. Thus, as in the example above, the counselor Emphasizes Control in the first three utterances. The fourth utterance contains Structure and another Emphasize Control. The whole volley would be coded as Emphasize Control, Structure. (EC/ST)

C.6. Behavior Categories: Definitions and Abbreviations

There are 15 major categories of counselor behavior in MISC 2.0. Each has a unique 2-letter code. Four categories require differentiation between two subcategories, which are 3-letter codes. For these four categories, the two-letter codes (AD, QU, RC, RE) are not permissible alone, but must include the third (subcategory) designation. The Counselor Behavior categories are:

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
<th>Subcategories</th>
</tr>
</thead>
<tbody>
<tr>
<td>AD</td>
<td>Advise</td>
<td>with (ADP) or without permission (ADW)</td>
</tr>
<tr>
<td>AF</td>
<td>Affirm</td>
<td></td>
</tr>
<tr>
<td>CO</td>
<td>Confront</td>
<td></td>
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<tr>
<td>DI</td>
<td>Direct</td>
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<tr>
<td>EC</td>
<td>Emphasize Control</td>
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<tr>
<td>FA</td>
<td>Facilitate</td>
<td></td>
</tr>
<tr>
<td>FI</td>
<td>Filler</td>
<td></td>
</tr>
<tr>
<td>GI</td>
<td>Giving Information</td>
<td></td>
</tr>
<tr>
<td>QU</td>
<td>Question</td>
<td>Closed (QUC) or Open Question (QUO)</td>
</tr>
<tr>
<td>RC</td>
<td>Raise Concern</td>
<td>with (RCP) or without permission (RCW)</td>
</tr>
<tr>
<td>RE</td>
<td>Reflect</td>
<td>Simple (RES) or Complex (REC)</td>
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<tr>
<td>RF</td>
<td>Reframe</td>
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<tr>
<td>SU</td>
<td>Support</td>
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<td>ST</td>
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<td>WA</td>
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Advise (with or without permission) ADP/ADW

-The counselor gives advice, makes a suggestion, or offers a solution or possible action. These will usually contain language that indicates that advice is being given: should, why don't you, consider, try, suggest, advise, you could, etc.

-Advise requires sub classification for whether the advice was given with or without prior permission from the client.

-Prior permission can be in the form of a request from the client, or in the counselor asking the client's permission to offer it.

-Indirect forms of permission asking may also occur, such as a counselor statement that gives the client permission to disregard the advice ("This may or may not make sense to you").

(ADP) Advice with permission:
  “Would it be all right if I suggested something?”
  “We could try brainstorming to come up with ideas about quitting if you like.”

(ADW) without permission:
  “Consider buying more fruits and vegetables when you shop.”
  “You could ask your friends not to drink at your house.”

*Differentiating Advise from other categories
Advise should not be confused with Direct or Question.
“Don’t let your friends drink at your house”. Direct due to the imperative “Don’t”.
“Could you ask your friends not to drink at your house?” Closed Question.
“What could you ask your friends to do to help you?” Open Question.
•**Affirm. (AF)**

-The counselor says something **positive or complimentary** to the client. It may be in the form of expressed **appreciation, confidence or reinforcement**.

-The counselor comments on the client’s **strengths or efforts**.

-It is not necessary to subclassify Affirm responses.

  **Appreciation.** The counselor compliments the client on a **trait, attribute, or strength**. The reference can be to a "stable, internal" characteristic of the client, something positive that refers to an aspect of the client that would endure across time or situations (smart, resourceful, patient, strong, etc.). It may also be for **effort**.

  “You’re a very resourceful person.”
  “Thank you for coming today.”
  “You’ve made a huge cut in your smoking.”
  “I’ve enjoyed talking with you today.”

  **Confidence.** The counselor makes a remark that bespeaks **confidence in the client's ability** to do something, to make a change; it predicts success, or otherwise supports client self-efficacy. These are related to a particular task, goal, or change.

  Client: “I don’t think I can do it.”
  Counselor: “You’ve succeeded through some difficult changes in the past”

  **Reinforcement.** These are general **encouraging** or "applause" statements even if they do not directly comment on a client's nature, and do not speak directly to self-efficacy. They tend to be short.

  “That’s a good idea.”
  “Good for you.”
  “That’s good.”

**Differentiating Affirm from other categories**

Affirm should not be confused with Support or Emphasize Control.

-Support takes on a **sympathetic or agreeing** quality, while affirm comments favorably on a client **characteristic**, bespeaks **confidence, congratulates or encourages**.

-Emphasize Control takes precedence over Affirm when a counselor response could be interpreted as both.

  “That must have been difficult.”
  “You’ve accomplished a difficult task.”
  “It was your decision to come here today.”
  “Thank you for coming today.”

  **Support** (sympathetic not appreciative)
  **Affirm** (effort/reinforcement)
  **Emphasize Control**
  **Affirm** (appreciation)
Confront. (CO)

- These are the expert-like responses that have a particular **negative-parent quality**, an uneven power relationship accompanied by disapproval, disagreement, or negativity. There is a sense of “expert over-ride” of what the client says.

- The counselor **directly disagrees, argues, corrects, shames, blames, seeks to persuade, criticizes, judges, labels, moralizes, ridicules, or questions the client’s honesty**.

- Included here are utterances that have the form of questions or reflections, but through their content or **emphatic voice tone** clearly constitute a roadblock or confrontation.

- If you are in **doubt** as to whether a behavior was a confront or some other code do **not** code it as Confront.

- **Re-emphasizing negative consequences** that are already known by the client constitutes a Confront, except in the context of a Reflection. The Reflection restates information presented by the client and is merely reflected back to the client without disapproval or negativity.

  Client: “I can’t believe they took my license away.”
  Counselor: “You knew you’d lose your license and you drove anyway.” **Confront** (criticizes)

  Client: “I looked for a job this week.”
  Counselor: “Sure you did. Right.” (Disbelieving, sarcastic voice tone) **Confront**

  Client: “I thought when I got pregnant I’d quit smoking for the baby, but I haven’t”
  Counselor: “You’re willing to jeopardize the baby’s health just for cigarettes.” **Confront** (judgmental, shaming, re-emphasizes consequences not voiced by the client)

**Differentiating Confront from other categories**

Do not confuse Confront with Reflect or Question or Facilitate.

Confront should be unmistakably confrontational. Subtle inference is not sufficient reason to code a counselor’s behavior as Confront.

If a question has a sarcastic tone, code as Confront as referenced above.

Client: “I don’t really have a problem with alcohol.”

  Counselor: Drinking really hasn’t caused problems for you. **Reflection**

  or  Counselor: So YOU think that you don’t have any problems AT ALL! **Confront**
     (conveyed by sarcastic tone in vocal emphasis)
Client: “I can’t believe I missed work and blew a good job just to party.”

Counselor: “It seems like a high price to pay for a good time.” Reflection

or Counselor: “Well, surprise surprise! Imagine that!” Confront (sarcasm)

Client: “I don’t care if I lose my job because I drink too much.”

Counselor: “Losing your job is a pretty high price to pay for having a good time” Confront (disagrees)

or Counselor: It really doesn’t matter to you. Reflect

Client: “I feel kind of run down.”

Counselor: “Don’t you understand what drinking is doing to your health?” Confront

or Counselor: “Do you think alcohol is affecting your health?” Question (not sarcastic in tone)

or Counselor: “D’ya think that alcohol might be responsible, maybe?” Confront (sarcastic tone)

Client: “I didn’t drink all weekend.”

Counselor: “So you say. Tell me another one.” Confront

or Counselor: “Uh huh” Facilitate

Occasionally a Confront can masquerade as an Affirm.

Client: I went for five days without drinking this week.

Counselor: I told you you could do it! Confront (Expert, paternal quality)

or Counselor: Good for you! Affirm

Client: I’m doing a little better, I guess, but I feel like it’s pretty hopeless.

Counselor: But look how much progress you’ve made! Confront (disagreement)

Counselor: You can see some progress, but mostly you’re discouraged. Reflect
**Direct (DI)**

-The counselor gives an **order, command, or direction**. The language is imperative.

"Don't say that!"
"Get out there and find a job."

-Phrases with the effect of the imperative tone include

"You need to___."

“I want you to__.”

"You have to__.”

"You must__." 

"You can't__.”

Examples:

“I want you to watch this video.”
“You’ve got to stop drinking.”
“You must have more respect for yourself.”

*Differentiating Direct from other categories*

Direct should not be confused with Affirm, Advise or Confront.

<table>
<thead>
<tr>
<th>Statement</th>
<th>Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>“You could try looking for a job this week.”</td>
<td>Advise</td>
</tr>
<tr>
<td>“I want you to try to find a job.”</td>
<td>Direct</td>
</tr>
<tr>
<td>“There’s no reason for you not to be working.”</td>
<td>Confront</td>
</tr>
<tr>
<td>“You should be proud of yourself for finding a job.””</td>
<td>Affirm</td>
</tr>
<tr>
<td>“Now get out there and get a job!”</td>
<td>Direct</td>
</tr>
</tbody>
</table>
• **Emphasize Control. (EC)**

- The counselor directly acknowledges, honors, or emphasizes the client's freedom of choice, autonomy, personal responsibility, etc. This may also be stated in the negative, as in "Nobody can make you change." There is no tone of blaming or faultfinding.

- Statements acknowledging the client’s autonomy in an accomplishment are coded as Emphasize Control rather than Affirm.

  Client: “I went for five days this week without drinking”

  *Counselor: You made that choice.*  
  **Emphasize Control**

  or  
  *Counselor:  Good for you!*  
  **Affirm**

- Emphasize Control takes precedence over Affirm or Reflect when a counselor response could be interpreted as both.

  “It is totally up to you whether you quit or cut down.”
  “It’s your decision.”
  “You know what’s best for you.” (No sarcasm)

**Differentiating Emphasizing control from other categories**

Emphasize Control should not be confused with Affirm, or Confront, or Reflect. When one utterance can clearly be coded as an Emphasize Control, an Affirm or a Reflect, Emphasize Control takes precedence.

  “It’s great that you’re doing this for yourself.”  
  **Affirm** (reinforcement)

  “It’s your decision whether you quit or not.”  
  **Emphasize Control** (freedom of choice)

  Client: “I’m finding this difficult.”
  *Counselor: “You’re the one who has to change.”*  
  **Confront** (negative quality)

  Client: “I need to make up my mind about drugs.”
  *Counselor: “You’re ready to make a decision.”*  
  **Reflect**

  Client: “Since I’m quitting, I won’t allow smoking in the house.”
  *Clin: “You’re setting your own goals and boundaries.”*  
  **Emphasize Control** (not Reflect)
**Facilitate. (FA)**

- These are simple utterances that function as keep going acknowledgments. “Mm Hmm.” “OK.” "Tell me more.” "I see."

- Facilitate responses are stand alone utterances. They do not usually occur with other counselor responses in the same volley. Do not code as Facilitate if the vocal sound is a preface to some other counselor response like a Question or a Reflect. In these combinations, code only the second response. No Facilitate would be coded for:

  OK, well let’s get started with these questionnaires, then.  **Structure**

  - Do not code as Facilitate if the vocal sound serves as a time holder (uh . . .) that serves to delay the client's response, rather than having the "go ahead" function. These are not coded at all. Instead what follows is coded.

    Uhhhhhh, I think it’s about four standard drinks.  **Giving Information**

- In videotape coding, do not code a head-nod or other nonverbal acknowledgment as Facilitate, unless it is accompanied by an audible utterance.

- A counselor may make an utterance that sounds like a Facilitate but has a negative or sarcastic quality.

  It must unambiguously disagree, question the client's honesty, express sarcasm, etc. These have a "Hah!" or “Aha!” or cynical "Yeah, right!" quality. **Code as Confront.**

  **Differentiating facilitate from other categories**

  Do not confuse Facilitate with Question or Confront.

  Some brief utterances sound like Questions, but function as Facilitates:"Oh, did you?” "Really!”

  If voice tone clearly implies skepticism ("Oh you did, did you?") it would be coded as Confront.

  If a Facilitate has a sarcastic or cynical quality it is coded as a Confront. When in doubt code, however, as Facilitate rather than Confront.

**Filler. (FI)**

- This is a code for the few responses that are not codeable elsewhere: pleasantries, etc. It should not be used often. If these exceed 5% of Counselor responses, they are probably being over-coded.

  “Good Morning, John.”

  “I assume you found a parking space OK.”

  “Nice weather today!”
- **Giving Information (GI)**

- The counselor gives information to the client, explains something, educates or provides feedback or discloses personal information.

- When the counselor gives an opinion but does not advise, this category would be used.

- It is no longer necessary to distinguish among types of Giving Information. If a Counselor response fits any of the following example types, code it as Giving Information.

- Some example types of Giving Information include providing feedback from assessment instruments, explaining ideas or concepts relevant to the intervention, or educating about a topic

  **Providing feedback from assessment**

  “You indicated during the assessment that you typically drink about 18 standard drinks per week. This places you in the 96th percentile for men your age.”  **Giving Information**

  “Your blood pressure was elevated when the nurse took it this morning.”  **Giving Information**

  **Personal feedback about the client that is not already available.**

  “Your doctor tells me you’ve been struggling with your glycemic control.”  **Giving Information**

  “I talked to your wife and she said she was really worried about your drinking.”  **Giving Information**

  **Explaining ideas or concepts relevant to the intervention**

  “This homework assignment to keep a diary of your urges to drink is important because an urge is like a warning bell, telling you to wake up and do something different.”  **Giving Information**

  **Educating about a topic**

  “Individuals who eat five fruits and vegetables each day reduce their cancer risk five fold. For certain kinds of cancer, like colon cancer, it’s even more of a reduction.”  **Giving Information**

  **Differentiating Giving Information from other categories**

  **Giving Information** should not be confused with Warn, Direct, Confront, Advise or Reflect.

  Reviewing information contained on assessment instruments does not typically qualify as a Reflection.

  Informing can become a Warn if there is a tone of threat or if..then

  “If you do tell me that you’ve used drugs, I am required to disclose that to your probation officer.”  **Giving Information**

  “If you tell me that you’ve been using drugs, I’m going to tell your probation officer.  **Warn**
Giving Information can be combined with other responses that go beyond the simple provision of information:

“You indicated during the assessment that you typically drink about 48 standard drinks per week. That much drinking is bound to damage your health sooner or later.” **Giving Information/Warn**

Here is a diary that you can use to keep track of urges. **Giving Information**

Keep track of your urges this week, using this diary, and bring it in next week to review with me.” **Direct**

Well, you are only eating two fruits per day according to this chart, even though you think you are eating five. It can be easy to deceive yourself.” **Confront**

AA worked for me (**Giving Information**), and it will work for you if you give it a try (**Confront**). We need to find the right AA meeting for you. You just didn’t find a good one.” **Advice without Permission**
**Question. (QU)**

- The counselor asks a question in order to **gather information, understand, or elicit the client's story.**
- Generally these begin with a question marker word: **Who, What, Why, When, How, Where,** etc.

- Questions require sub classification as either Closed (QUC) or Open (QUO)

- A question may also be stated in **imperative statement** language: “Tell me about your family.” (QUO) These are coded as Question, and not as Direct.

- There may be two separate utterances that constitute **both a Reflect and a Question.** In transcript these would usually be written as separate sentences. Sometimes, however, the counselor begins with a Reflect but turns it into a Question to check the accuracy of the Reflection or to move forward. When both elements are present within the same utterance, only the **Question is coded.**

- The exception to this is “near reflection” when a Reflect is inflected upward at the end (implying a question), and that is the only difference from a reflective listening statement. Reflections that are inflected upward at the end are still coded as Reflect, unless they contain specific words that mark them as a question.

Client: I’m just not sure what’s going to happen with this relationship. Sometimes we seem to be so good together, and sometimes it’s a disaster.

* Counselor: This relationship has been a mixed blessing for you. Reflect

or  Counselor: This relationship has been a mixed blessing for you? (voice inflects upward at the end) Reflect

or  Counselor: This relationship has been a mixed blessing for you, has it? Closed Question because of the question words “has it?” inserted at the end.

or  Counselor: This relationship has been a mixed blessing for you. Tell me more about how you are together. Reflect/ Open Question

or  Counselor: Has it been kind of a mixed blessing for you? Closed Question

**Closed Question. (QUC)**

- The question implies a short answer: **Yes or no, a specific fact, a number, etc.**

- The question specifies a **restricted range** or satisfies a questionnaire or multiple-choice format.

- This includes a "spoiled open question" where the counselor begins with an open question but ends it by asking a Closed Question. In this case, the QUO is not coded, but only QUC.

  “Tell me about your smoking. How old were you when you started?” Closed Question (A “spoiled open question”)
All of these are Closed Questions:

“Did you use heroin this week? (Yes or No answer)

“Where do you live?” (Specific fact)

“Do you want to stay where you’re at, quit, or cut down?” (Multiple choice)

“On a scale from 0-10 how motivated are you to quit?” (Restricted range)

Open Questions. (QUO)

-An open question is coded when the counselor asks a question that allows a wide range of possible answers.

- The question may seek information, invite the client’s perspective, or encourage self-exploration.

- The Open Question allows for the option of surprise for the counselor.

- If a counselor asks an Open Question and then gives a series of “for example” questions before the client answers, this is coded as one Open Question.

What problems has cocaine caused for you? – health problems, legal problems, family problems, money problems? This is one QUO

-An Open Question need not be in the form of a question. “Tell me more”, is an Open Question.

These are all Open Questions:

How might you be able to do that?

How do you feel about that?

In what ways has being overweight caused problems for you? For example, I wonder if you’ve felt bad about yourself, been left out of things, had health problems. . .Things like that.

Tell me about your smoking.
Differentiating Questions from other categories

Question should not be confused with Facilitate, Confront or Reflect

To qualify as a near reflection and be coded as Reflect, the utterance must be a reflection by definition, with the only difference being the inflection of voice at the end of the sentence. If question words are added to a reflection, code it as a Question.

Facilitate responses may resemble questions, but are characteristically short, and their function is to communicate, “Keep going.”

Confront responses may also take the linguistic form of a question, but if they meet the definition for confrontation (above) they are coded as Confront.

“Really?” “Do you?” Facilitate (keep going, not sarcastic)

“How could you possibly not know what would happen? Confront (critical, shaming)

“You smoke 15 cigarettes a day, . . or is it 20? Closed Question (unless the context makes it an obvious Confront)

“So you you’re drinking more. How much more?” Reflect/ Open Question

Client: “My drinking is OK during the week, but I really go overboard on the weekends.”

Counselor: “You’re OK except on the weekends?” Reflect (near reflection)

vs. Counselor: “Are you OK except on the weekends?” Closed Question

or Counselor: “You’re OK except on weekends, are you? Closed Question
•**Raise Concern (with or without permission) (RCP/ RCW)**

- The counselor points out a **possible problem** with a client's goal, plan, or intention.

- It always contains language that marks it as the **counselor’s concern** (rather than fact).

- Raise Concern always requires sub classification as to whether the concern was raised with or without **permission**.

- Prior permission can be in the form of a request from the client or in the counselor asking the client's permission to offer it.

- Indirect forms of permission asking may also occur, such as a counselor’s statement that gives the client permission to disregard the counselor’s concern.

- Raise Concern may include elements of **possible negative consequences** as long as these are expressed as the **counselor’s own concern**.

**Examples: Raise Concern with Permission (RCP)**

“**This may not seem important to you, but I’m worried about your plan to move back to your old neighborhood**”.

“**Is it OK if I tell you a concern that I have about that? I wonder if it puts you in a situation where it might be easy to start using again?**

Client: What do you think of that idea?

  *Counselor: Well, frankly it worries me.*

**Examples: Raise Concern without Permission (RCW)**

“**I’m worried that you may have trouble when you’re around your old friends.**”

“**I think you may wind up using again with your old friends.**”

**Differentiating Raise Concern from other categories**

Do not confuse Raise Concern with Advise, Support, Question, Giving Information, Confront or Warn.

- **Advise** is coded when the counselor is suggesting a form of action. Raise Concern does not advise a course of action, but rather points to a potential problem or issue for the client's consideration.

- **Support** includes statements of compassion that can appear similar in language. The difference is that Raise Concern points to a particular issue, problem, or risk.

- If concern is raised in the form of a question, code as Question, **unless** the counselor is asking permission to raise a concern in the form of a question.
In *Giving Information* the counselor provides factual information that is not identified as a concern.

- **Confront** involves direct disagreement, argument, criticism, shame, blame, judgment, moralization, disapproval, etc. Confront has a particular negative-parent quality that acts as a roadblock or confrontation. Confront contains language that implies the concern as “fact” rather than opinion or concern. Raise Concern contains language that identifies it as the counselor’s concern only.

- **Warn** always threatens or implies negative consequences without identifying them as the counselor’s concern.

“**I’m worried that you’ll use drugs when you’re bored.**”  
**RCW-** (no advice given)

“**You could ride your bike when you get bored.**”  
**Advise** (makes a suggestion)

“**I’ve been concerned about you this week.**”  
**Support** (sympathetic, no specific issue)

“**Could I tell you what concerns me about your plan?**”  
**RCP** (not coded as Question)

“**Boredom is a common trigger for drug use.**”  
**Giving Information** (if the context does not imply Warn)

“**How will you keep on track when you go back home?**”  
**Open Question**, not RCW or Confront

“**There’s no way your plan will work if you’re around your old friends.**”  
**Confront** (factual statement)

“**I’m concerned that you are an alcoholic.**”  
**Confront** (labeling)

“**If you get bored you’ll use drugs.**”  
**Warn** (negative consequences, not concern, fact)
- A reflection is a reflective listening statement made by the counselor in response to a client statement.

- It can reflect client utterances from the current or previous sessions.

- Reflections capture and return to the client something that the client has said.

- Reflections can simply repeat or rephrase what the client has said or may introduce new meaning or material.

- Reflections can summarize part or all of a session.

- Information that was provided by the client in a questionnaire or on an intake form can be coded as Reflect as long as it does not give the client new information.

- Reflections require sub classification as either Simple (RES) or, Complex (REC)

- When a coder cannot distinguish between a Simple and Complex Reflection, the Simple Reflection is the default category.

- A reflection is still coded as Reflect even if the counselor’s voice inflects upward at the end (a “near reflection”), as long as no question words are added. That is, the Reflect must be identical in all respects to a statement, except for the voice inflection at the end. Near Reflections may be coded separately from Reflect statements, as discussed below.

Simple Reflection. (RES)

- Simple Reflections add little or no meaning or emphasis to what the client has said.

- Simple reflections merely convey understanding or facilitate client/counselor exchanges.

- Simply repeating or rephrasing what the client has said qualifies as a Simple Reflection.

- They may identify very important or intense client emotions but do not go far beyond the original overt content of the client’s statement.

- Summaries pull together points from two or more prior client turns. Summaries are usually Complex Reflections, but can be coded as Simple Reflections if they add little or nothing to prior client statements. When in doubt, code a summary reflection as complex (REC). (There is no longer a separate Summary code.)

Complex Reflections. (REC)

- Complex Reflections typically add substantial meaning or emphasis to what the client has said.
- They convey a **deeper or richer** picture of the client’s statement.

- They contain significantly more or different content from what the client actually said.

- The counselor may add **subtle or obvious** content or meaning to the client’s words.

- The following are almost always Complex Reflections:

  **Analogy, metaphor and simile** (not stated by the client)

  **Exaggeration or amplification** by understating or overstating

  “**Continuing the paragraph**” by anticipation of what the client might reasonably say next,

  **Double-sided** reflection containing both sides of ambivalence in a single Reflect

**Summaries** are usually coded as Complex Reflections when they add content or meaning to client statements.

**Examples**

Client: “I wouldn’t mind coming here for treatment but I don’t want to go to one of those places where everyone sits around crying and complaining all day.”

  Counselor: “You don’t want to do that.”     **Simple Reflection**
  Counselor: “So you’re kind of wondering what it would be like here.”     **Complex Reflection**

Client: “The court sent me here.”

  Counselor: “That’s why you’re here.”     **Simple Reflection**
  Counselor: “That’s the only reason you’re here.”     **Complex Reflection** (by amplification)

Client: “At one time I was pretty much anti anything but marijuana.

  Counselor: “Marijuana was OK”     **Simple Reflection**
  Counselor: “That’s where you drew the line.”     **Complex Reflection**

Client: “Everyone’s getting on me about my drinking.”

  Counselor: “Kind of like a bunch of crows pecking at you.”     **Complex Reflection** (simile)

Client: “I don’t like what smoking does to my health, but it really reduces my stress.

  Counselor: “On one hand you’re concerned about your health, on the other you need the relief.”     **Complex Reflection (double-sided)**
  Counselor: “You don’t like what smoking does to your health, but it’s a stress-reducer”     **Simple Reflection** because it adds nothing to what the client just said.

Client: “I’m a little upset with my daughter.”

  Counselor: “You’re really angry at her.”     **Complex Reflection** (overstates)

  Counselor (looking at questionnaire): So you said you eat about five fruits and vegetables a day, and that is the usual recommended daily level.”     **Simple Reflection/ Giving Information**

**Near Reflections. (NRS, NRC)**
The codes NRS (Near Reflect Simple) and NRC (Near Reflect Complex) can be used to differentiate Reflects in which the voice inflects upward at the end. This is included as an option - an investigator may elect just to collapse Near Reflects with Reflects, in which case they would be coded only RES or REC as described above.

The purpose of including the Near Reflect code is to differentiate a counselor who is thinking reflectively, but missing the optimal form of a reflection by using a questioning tone at the end. A Near Reflection serves to reflect a client statement, but raises the voice inflection at the end, causing the reflection to resemble a question.

A Near Reflection must qualify as a reflection in every sense except for the inflection at the end of the statement. If words are added to the front or end of the statement that would typically mark a question, then Question is coded instead of Reflect.

Like other Reflect responses, Near Reflections require sub classification as either Simple (NRS) or Complex (NRC).

Examples:

Client: “I’m OK drinking during the week, but I really drink a lot on the weekends.”
   Counselor: “You’re OK except on the weekend.” RES
   Counselor: “You’re OK except on the weekend?” NRS

Client: “I’ve tried to quit, but maybe I haven’t tried hard enough.”
   Counselor: “You haven’t given it your best effort yet?” NRC
   Counselor: “You haven’t given it your best effort yet.” REC
   Counselor: “Have you given it your best effort?” QUC Closed Question
   Counselor: “What have you tried so far?” QUO Open Question

Differentiating Reflections from other categories.

Reflections can be similar to Affirmations, Confronts, Emphasize Control, Question, or Giving Information.

What may sound like an Affirm is a Reflect if it is reflecting what the client has said himself or herself. Similarly, in differentiating Reflect from Emphasize Control, the key factor is whether the counselor is reflecting something that the client has just said.

Near Reflect should not be confused with Question. Simply inflecting the voice upward at the end of a Reflect does not make it a question.

The differential between Confront and Reflect usually has to do with added emphasis that provides a clear tone of disagreement or sarcasm. Particularly subtle is differentiation between Confront and an amplified reflection. Confront should be unmistakably confrontational. Subtle inference is not sufficient reason to code a counselor’s behavior as Confront. When in doubt, Reflect is the default.

Examples:
   Client: “I don’t really have a problem with alcohol.”
Counselor: Drinking really hasn’t caused problems for you.  Reflection

or  Counselor: So YOU think that you don’t have a problem in the world!  Confront
(conveyed by sarcastic tone in vocal emphasis)

Client: “I don’t care if I lose my job because I drink too much.”

Counselor: “Losing your job is a pretty high price to pay for having a good time”  Confront (disagrees - this is not a reflection of what the client said)

or  Counselor: It really doesn’t matter to you.  Complex Reflect

Counselor: It really doesn’t matter to you at all.  Complex Reflect (amplified)

Client: “I think I can do this.”
   Counselor: You believe in yourself.  Complex Reflect (not Affirm)

Client: “I drank this weekend.”
   Counselor: “So you went and drank this weekend.”  Confront (from judgmental tone)

Client: “I really think I can quit this time.”
   Counselor: You’re pretty sure you can do it.”  Reflect
   Counselor: “You’re very strong and resourceful.”  Affirm
   Counselor: “It’s a decision only you can make.”  Emphasize Control.
Reframe. (RF)

-The counselor suggests a **different meaning** for an experience expressed by the client, placing it in a new light.

-These generally have the quality of **changing the emotional valence** of meaning from negative to positive or from positive to negative.

-Reframes generally **meet the criteria for Reflect** but go further than adding meaning or emphasis by actually **changing the valence** of meaning and not just the depth.

-Reframing can involve giving the client **new information** in order to see their situation from a different perspective. In this case the information is a vehicle for reframing, and the default is **v Reframe**.

*Examples:*

Client: My husband is always nagging me about taking my medication.
*Counselor:* “Sounds like he’s pretty concerned about you.” **Reframe** (“nagging” as “concern”)

Client: “My wife and kids know I’ve cut down a lot, but every time I do smoke they make a remark.”
*Counselor:* Their efforts to help feel like pressure to quit. **Reframe** (“pressure” as “help”)

*Differentiating Reframe from other characteristics*

Reframe needs to be differentiated from **Reflect, Affirm, Giving Information, and Confront**

The above examples certainly reflect counselor understanding but they also change the valence or emotional charge of a client statement.

Client: I don’t know if I can do it. I’ve tried so many times, and then something else comes up that I have to deal with first.
*Counselor:* Something always gets in the way. **Complex Reflect or Reframe**

*Counselor:* You have clear priorities. **Reframe**

**Reframe** may make a positive attribution about the person, but the difference from **Affirm** is that it is a direct restructuring of what the person has just said.

Client: I don’t think I can do it. I’ve tried so many times, and then something else comes up that I have to deal with first.
*Counselor:* Oh, I don’t know. You’re a pretty strong person. **Affirm** (it is not obviously linked to the content of the client’s preceding statement)
*Counselor:* You have clear priorities. **Reframe**

The giving of information is only coded as a **Reframe** if it changes the valence of meaning of a client statement.

Client: “Do people who go through this program quit the first time?”
*Counselor:* “Some do and sometimes it takes a few tries before they succeed.” **Giving Information**
Client: I’ve tried to quit before and failed.  
  Counselor: Each attempt can move you closer to success.”  Reframe (“failure” as “step toward success”)

Finally, Reframe can border on Confront because it involves an indirect form of disagreement with the client. The distinctive difference is that Confront has a corrective, expert tone that implies that the client is mistaken.

Client: I don’t think I can do it. I’ve tried so many times, and then something else comes up that I have to deal with first.  
  Counselor: Oh, I don’t know. You’re a pretty strong person.  Affirm (it is not obviously linked to the content of the client’s preceding statement)  
  Counselor: You have clear priorities.  Reframe  
  Counselor: Now look here. How can you sit there and tell me you can’t do it, when you know full well that you can?  Confront
Support. (SU)

-These are generally sympathetic, compassionate, or understanding comments.

-They have the quality of agreeing or siding with the client.

Examples of Support:

“You’ve got a point there.” Agreement
“That must have been difficult”. Compassion
“I can see why you would feel that way.” Understanding
“I’m here to help you with this.” Compassion

Differentiating Support from other categories

Support needs to be differentiated from Affirm, Reflect or Confront.

Affirm imparts appreciation, confidence or reinforcement.

“That’s a difficult thing to say.” Support (compassion)
“I appreciate you saying that.” Affirm (appreciation)
“You’ve accomplished a very difficult task.” Affirm (effort)

Client: “It wasn’t easy to do that.”
    Counselor: “It was hard for you.” Simple Reflection

Client: “I don’t have a car.”
    Counselor: “That must make it difficult for you to get here for appointments.” Support
    Counselor: So that’s your excuse for not keeping your appointments. Confront
**Structure (ST)**

- To give information about what’s going to happen directly to the client throughout the course of treatment or within a study format, in this or subsequent sessions.

- To make a transition from one part of a session to another.

Examples of **Structure**:

“What we normally do is start by asking you about your eating habits.”
“Now I’d like to talk with you about your motivation.”
“In this study I’ll meet with you twice a month and the sessions will be tape recorded.”
“I usually meet with clients once a week for 10 weeks.

**Differentiating Structure from other categories**

Structure needs to be differentiated from Giving Information.

If a counselor gives the client information about the study or treatment in general, code as **Giving Information**. When there is a clear purpose of preparing the client for what will happen, code as **Structure**.

“We’ll ask you about your smoking every week.” **Structure** (directly pertains to client)
“We analyze all of the blood samples for nicotine levels.” **Giving Information**
Warn. (WA)

-The counselor provides a warning or threat, implying negative consequences unless the client takes a certain action.

-It may be a threat that the counselor has the perceived power to carry out or simply the prediction of a bad outcome if the client takes a certain course.

“You’re going to relapse if you don’t get out of this relationship.”
“You could go blind if you don’t manage your blood sugar levels.”
“If you don’t come to our sessions I’ll have to talk to your parole officer.”
“You can lose the weight you’ll put on if you quit, but you can’t lose cancer.”

Differentiating Warn from other categories

Warn needs to be differentiated from Advise, Confront, Direct, Inform or Raise Concern.

Warn should always be identified as containing a threat or implied negative consequences.

The following examples do not imply negative consequences.

| “You should consider leaving your partner.” | Advise (suggestion) |
| “There’s no reason for you to neglect your health.” | Confront (shames) |
| “You have to come to our sessions.” | Direct (lacks consequences) |
| “One of the health risks for diabetics is blindness.” | Giving Information (all diabetics) |

When a potential negative consequence is expressed as a concern of the counselor, Raise Concern takes precedence.

I’m worried that you’ll relapse if you stay with your partner.” Raise Concern (counselor’s concern)
TRAINING STRATEGY FOR THE MISC

Training coders to competency, as measured by interrater reliability and matching to a gold standard, usually requires a stepped learning process. We have found that MISC coders do best beginning with fairly simple tasks and proceeding to more complex ones only when competence on the simpler tasks is solid. We recommend that coders begin by learning Level I tasks to an acceptable reliability and validity standard prior to attempting Level II tasks. Only when acceptable standards for combined I and II tasks have been accomplished should coders begin on Level III tasks. The self-review of MI text and video learning tools can be used at any time (perhaps as a prelude to beginning Level I tasks).

The use of pre-scored gold standard transcripts will assist in evaluating coder competency and areas for improvement. We have found that coders often have difficulty in particular areas, requiring a more intensive focus on those topics. This can be identified by using standardized transcripts as a quiz for each level. More than one quiz is often needed. We have found that coders typically require 40 hours of training to reach interrater reliability using the MISC. In addition, regular (probably weekly) group coding sessions are optimal to insure drift does not occur. Clinical experience has not predicted ease of training or eventual competence in our laboratory.

Here are some examples:

Level I competencies: Start with second-pass coding of specific behaviors. Learn how to recognize and parse utterances. Learn to recognize and code the more discrete behavior categories, such as giving information and open/closed questions

Level II competencies: Add Reflect responses, and differentiate simple from complex. Learn differentials between similar response categories.

Level III competencies: Having mastered individual behaviors, include the global ratings.
D. Client Behavior Counts

Each time the client speaks; at least one code must be assigned. What you are listening for in particular are six forms of speech that represent movement toward or away from a particular behavior change. This coding may be based on listening to audiotaped or videotaped conversation, or coding a transcript of a conversation. We believe that reliability of coding will be substantially higher when based on transcripts, although this hypothesis needs to be tested.

The Target Behavior Change (TBC)

Before you begin coding a session, it is essential to have a clear understanding of the Target Behavior Change (TBC), which is usually specified by the Principal Investigator. Examples of clear TBCs are:

- Stopping smoking
- Stopping or reducing use of alcohol
- Increasing dietary intake of fruits and vegetables
- Taking blood pressure medication as prescribed

Note that a well-specified TBC includes both a target behavior (smoking, drinking, fruit/vegetable intake, taking medication) and a specified direction of change (stopping, increasing, adhering to prescription).

Sometimes the TBC may involve a specified class of behaviors. For example, the goal of reducing risk for HIV/HCV infection might include any of a specified set of behaviors including:

- Avoiding (stopping or reducing) unprotected sex
- Avoiding alcohol/drug use prior to sex
- Avoiding needle sharing
- Sterilizing needles before re-use

In this case the Principal Investigator should specify the list of behavior changes that constitute TBC.

Least desirable as the TBC is an ill-defined general goal, such as to “be healthy.” In this case, client speech relevant to TBC would be any behavior change that the client clearly identifies as intended to move toward or away from the general goal. Coders should not infer a link between actions being discussed by the client and the TBC goal, unless it is clear from the context that the purpose of the behavior is to move toward or away from the TBC goal. For example, if the TBC goal is to reduce cardiovascular risk, and the Principal Investigator has not specified specific target behaviors: “I wish I were less stressed” would not in itself indicate movement toward or away from the TBC goal. If, on the other hand, the client said, “Decreasing my stress at work would probably help my heart,” it would be coded as TBC. Similarly, if the counselor’s or client’s prior responses clearly provide a context for TBC, it is coded. For example, if the counselor asked, “What could you do to reduce your risk of having another heart attack?” And the client replies, “I could exercise more,” it would be coded as TBC even if the client does not directly state the connection. If the counselor says,

“One way that people can have a healthier heart is to stop smoking”

The client’s next response is likely to be relevant to TBC, whether positive or negative.
What is a Client Change Talk Utterance?

At the very least, any client “turn” in a conversation is one utterance, starting from the client’s first word until the next person (typically a counselor) speaks. It is not uncommon, however, for a client turn to include more than one utterance. If a client’s turn includes two statements, each of which can be assigned a different code (as below), then both are coded as utterances. This would include:

Two utterances that would be given different signs:

- I really have to stop smoking (+),
- but I just don’t want to (-)

or two utterances that state different content (e.g., reasons) for or against change:

- I’d have a better change of getting my children back if I quit drinking (R+)
  and I’m sure I’d feel better, too (R+),
  but I would miss going out with my friends (R-)

or two utterances that result in different strength scores (see below):

- Probably I do need to cut down a little bit . . . (N+1)
- No, who am I kidding? I definitely need to cut down (N+5)
Six Kinds of Change Talk

In MISC 2.0, you are listening for six indications of inclination toward or away from the TBC. These six kinds of natural speech reflect:

A  Ability (A+) or inability to change (A-)
C  Commitment to change (C+) or not to change (C-)
D  Desire to change (D+) or not to change (D-)
N  Need to change (N+) versus lack of need for change, or a need not to change (N-)
R  Reasons to change (R+) or reasons not to change (R-)
T  Taking steps toward (T+) or away from change (T-)

Every time an example of one of these occurs in client speech, it is recorded with a positive (+) or negative (-) valence, depending on whether it reflects inclination toward (+) or away from (-) the TBC.

0: Follow/Neutral. In many client turns there will be no utterance codable as Change Talk (A, C, D, N, or R). In this case the client turn is coded with a zero (0) as “Follow/Neutral.” In a follow-neutral turn, there is no indication of client inclination either toward or away from the TBC. The client may be asking a question, making non-committal statements, saying TBC-irrelevant things, or just following along with the conversation. Note that only TBC-relevant change talk is coded. If the target behavior is cocaine use and the client says, “I want to get my children back,” it would not be coded as D+ unless there is a clear link made between cocaine use and getting the children back.

T: Why are you here?
C: I want my children back

whereas

T: Why would you want to quit cocaine?
C: I want my children back.

and

C: I need to quit coke so I can get my kids back.

In this regard, sometimes even very brief client utterances can constitute change talk.

T: So you’re telling me that you want to quit cocaine.
C: Uh huh

is  D+

T: What reasons do you have for quitting?
C: None

is  R-

When you are in doubt about an utterance - when you are not sure if there is talk (+ or -) relevant to the TBC, the default code is Follow/Neutral (0).

Finally, a client turn is coded at Follow/Neutral (0) only if it contains no other codable utterance. That is, for a sequence of utterances within a turn, any + or - code trumps a zero. Suppose that this were the conversation:
T: What are you thinking about marijuana at this point?
C: Actually I wasn’t thinking about it at all. I was thinking about my girlfriend. (0)
... but yeah, I guess I’m smoking too much for my own good (N+).
At least she says so and she wants me to quit (0).
I don’t want to break up with her (R+).
I think it’s messing me up at school, too. (R+)

There are two utterances within the turn that would be coded as Follow/Neutral if they occurred alone. The fact that they occurred along with codable change talk, however, means that the zeros are “silent” – no zero is recorded for this turn. The correct code for the turn would be N+  R+  R+.

If, on the other hand, the client turn is terminated by counselor responses, then the coding would be different:
   T: So what are you thinking about marijuana at this point?
   C: Actually I wasn’t thinking about it at all. I was thinking about my girlfriend. (0)
   T: Uh huh.
   C: But yeah, I guess I’m smoking too much for my own good (N+).
   T: Really.
   C: At least she says so and she wants me to quit (0).
   T: She might break up with you if you keep smoking.
   C: I don’t want to break up with her. (R+)
   T: What other reasons might there be for quitting?
   C: I think it’s messing me up at school, too. (R+)

In this case, the correct sequence of client codes would be: 0 N+ 0 R+ R+
Remember that it is also possible to have positive and negative responses within the same turn, reflecting ambivalence (such as R+ R- N+).

**Rating the Strength of Change Talk**

One more element is needed to have a complete code for a client utterance. In addition to the type and valence of change talk (if any), every TBC-relevant statement is also given a strength score ranging from +5 (strong inclination toward TBC) to -5 (strong inclination away from TBC). Positive (+) signs indicate inclination toward TBC, and negative (-) signs indicate inclination away from TBC and toward status quo. The starting point for a straightforward statement of inclination is a three (+3 or -3). The intensity of inclination can be increased or decreased by signals in the content, vocal tone, or context of an utterance. As a general guideline, use this scale to judge the numeric strength of an utterance (whether + or -):
Strength of Inclination

5  An absolute, categorical, hyperbolic, emphatic or superlative expression of inclination “in no uncertain terms” This can be judged from emphasis modifiers (definitely, surely, absolutely, positively, no way) and/or from definitive vocal tone of expression. Amplification of strength can also be judged from the verb that is used (“I swear” “I promise” “I guarantee” “I will” (with vocal emphasis) all would be coded C+5.

4  A statement of inclination with some amplification (really, very), but not absolute

3  A straightforward statement of inclination, neither amplified nor qualified; such as “I just . . .” Code most short answers here: “Yes” “No” although a short answer like “Certainly” may merit a 5.

2  A moderated, somewhat qualified statement (mostly, pretty much, probably, not really)

1  A highly diminished statement - I guess, kind of, a little, sort of

There is no zero in the strength scale. Zero is never used with a change talk code (such as N0). Zero is used only to code a turn in which no codable inclination (+ or -) toward TBC was expressed.

Differentiating the Five Categories of Change Talk

As a general guideline, rely on your knowledge as an everyday user of the language you are coding. At times, the grammar that you encounter may be poor; rely on the meaning or intent that is communicated by an utterance. You may also have to rely on the surrounding context if you encounter a term (e.g., street slang, other language) that you do not understand. If the context does not make the meaning clear, consult your supervisor.
A: Ability

Statements of Ability indicate personal perceptions of capability or possibility of change. Usually they do not contain specific reasons, but express a general level of ability or inability. For example:

- I just can’t quit
- I just can’t quit because my boyfriend would dump me

These are coded A-. When in doubt, and a specific reason for “inability” is stated or implied, R takes precedence over D. However, sometimes the “reason” given is just a restatement of general ability or inability.

- I just can’t quit because I don’t have the willpower
- I can do it, because I’ve done harder things before

These are coded A- and A+, respectively. If the two utterances are separated, as by a conjunction, then both can be coded:

- I just can’t quit; besides, my boyfriend would dump me

This is coded A- and R-.

Common Ability words include:

- I can
- I could
- I am able
- It’s possible (for me)

Strength rating codes for Ability have to do with how strongly the person believes in their ability (or inability) to make or maintain the TBC.

Note that ambivalence is sometimes reflected within the same sentence.

- “I think I can, but I’m not really sure

This is coded A+3 and A-2.
### Examples of ABILITY Strength Rating Codes

<table>
<thead>
<tr>
<th>Rating</th>
<th>Examples</th>
</tr>
</thead>
</table>
| +5     | I’m positive that I could quit.  
Sure I can lose the weight - it’s just a matter of sticking to it.  
Absolutely. I can quit whenever I want. |
| +4     | Very likely, I could do it if I tried.  
I’m rather sure I can do it.  
I’m pretty positive I can do it. |
| +3     | I can do it  
Yes, it’s possible (for me)  
I could. |
| +2     | I think I have it in me.  
Probably I can do it.  
Pretty much, yes, I think I can. |
| +1     | I might be able to.  
I guess I could.  
I’m sort of good at sticking to things. |
| -1     | I have a little trouble sticking to things.  
My guess is that I couldn’t.  
I might not be able to. |
| -2     | I don’t think I can.  
No, I probably couldn’t do it.  
I pretty much tried, and it didn’t work. |
| -3     | I just can’t keep off the weight.  
I don’t have it in me.  
I couldn’t do it. |
| -4     | I’m pretty sure I can’t do it.  
Very unlikely -- I wouldn’t have much of a chance.  
I really don’t think I can. |
| -5     | It’s just impossible.  
There is no way I could make it without cigarettes.  
I don’t stand a chance. |
C: Commitment

Statements of Commitment imply an agreement, intention, or obligation regarding future TBC. This can be expressed directly via a committing verb, or indirectly. For example:

“I swear I will stop this” “Swear” is strong committing verb, coded C+5

“Nothing is going to stop me this time” This statement has no committing verb, but it indirectly implies commitment and is also coded C+5

With Commitment speech, if a reason is given it is also coded separately whether or not there is a separating conjunction, and R does not trump the C code. For example:

I’m going to do it is coded C+3
I’m going to do it for my family is coded C+3 R+3

Strength rating codes for Commitment have to do with how committed the person is to accomplishing or maintaining TBC. There are many forms of direct verbal Commitment. In descending order of strength of Commitment, they include:

<table>
<thead>
<tr>
<th>Direct Verbal Commitment</th>
<th>Strength Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>I guarantee</td>
<td>5</td>
</tr>
<tr>
<td>I will</td>
<td>4</td>
</tr>
<tr>
<td>I promise</td>
<td>3</td>
</tr>
<tr>
<td>I vow</td>
<td>2</td>
</tr>
<tr>
<td>I shall</td>
<td>1</td>
</tr>
<tr>
<td>I give my word</td>
<td></td>
</tr>
<tr>
<td>I assure</td>
<td></td>
</tr>
<tr>
<td>I dedicate myself</td>
<td></td>
</tr>
<tr>
<td>I know</td>
<td></td>
</tr>
<tr>
<td>I am devoted to</td>
<td></td>
</tr>
<tr>
<td>I pledge to</td>
<td></td>
</tr>
<tr>
<td>I agree to</td>
<td></td>
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<tr>
<td>I am prepared to</td>
<td></td>
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<tr>
<td>I intend to</td>
<td></td>
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<tr>
<td>I am ready to</td>
<td></td>
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<tr>
<td>I look forward to</td>
<td></td>
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<tr>
<td>I consent to</td>
<td></td>
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<tr>
<td>I plan to</td>
<td></td>
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<tr>
<td>I resolve to</td>
<td></td>
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<tr>
<td>I expect to</td>
<td></td>
</tr>
<tr>
<td>I concede to</td>
<td></td>
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<tr>
<td>I declare my intention to</td>
<td></td>
</tr>
<tr>
<td>I favor</td>
<td></td>
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<tr>
<td>I endorse</td>
<td></td>
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<tr>
<td>I believe</td>
<td></td>
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<tr>
<td>I accept</td>
<td></td>
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<tr>
<td>I volunteer</td>
<td></td>
</tr>
<tr>
<td>I aim</td>
<td></td>
</tr>
<tr>
<td>I aspire</td>
<td></td>
</tr>
<tr>
<td>I propose</td>
<td></td>
</tr>
<tr>
<td>I am predisposed</td>
<td></td>
</tr>
<tr>
<td>I anticipate</td>
<td></td>
</tr>
<tr>
<td>I predict</td>
<td></td>
</tr>
<tr>
<td>I presume</td>
<td></td>
</tr>
<tr>
<td>I mean to</td>
<td></td>
</tr>
<tr>
<td>I foresee</td>
<td></td>
</tr>
<tr>
<td>I envisage</td>
<td></td>
</tr>
<tr>
<td>I assume</td>
<td></td>
</tr>
<tr>
<td>I bet</td>
<td></td>
</tr>
<tr>
<td>I hope to</td>
<td></td>
</tr>
<tr>
<td>I will risk</td>
<td></td>
</tr>
<tr>
<td>I will try</td>
<td></td>
</tr>
<tr>
<td>I think I will</td>
<td></td>
</tr>
<tr>
<td>I suppose I will</td>
<td></td>
</tr>
<tr>
<td>I imagine I will</td>
<td></td>
</tr>
<tr>
<td>I suspect I will</td>
<td></td>
</tr>
<tr>
<td>I contemplate</td>
<td></td>
</tr>
<tr>
<td>I guess I will</td>
<td></td>
</tr>
<tr>
<td>I wager</td>
<td></td>
</tr>
<tr>
<td>I will see (about)</td>
<td></td>
</tr>
</tbody>
</table>

Commitment to status quo (coded with a negative valence) can be expressed either as a lack of commitment to change:

I don’t intend to change C-4
I would predict I’m not going to quit. C-2

or as a statement of commitment to continue current behavior
I intend to keep smoking marijuana. C-4
I bet I’ll still be drinking a year from now. C-1

Notice that the strength of commitment (1 to 5) is coded similarly whether the valence is positive (+ to change) or negative (- to not change).

Commitment is generally expressed in present or future tense. Statements in past tense usually do not reflect a present commitment to change. For example:

*Back then, I was prepared to quit.*

This would be coded 0 because it indicates nothing about the present, unless from the context the meaning is that “Back then I was prepared to quit, but now I’m not,” in which case it would be coded C-4.

*Then freebasing came along, and of course I experimented with that.*

This would be coded 0 because it reflects past drug use, but implies nothing about future use.

Note that ambivalent Commitment is sometimes reflected within the same sentence.

*I hope to, but I don’t really expect to* is coded C+1 C-3
*I plan to quit, but I didn’t back then* is coded C+3 (the 0 is silent)
D: Desire

Statements of Desire indicate a wanting, wishing, willing. They do not contain specific reasons, but express a general level of desire. For example:

I really want to lose weight is coded D+
but I want to lose weight so I can fit into my clothes is coded R+

When in doubt, and specific reason is stated or implied, R takes precedence over D. Stated or implied “so that” makes a response an R. If the two utterances are separated, however, as by a conjunction, then both can be coded:

I really want to lose weight, and I’d like to fit into my clothes is coded D+ R+

Common Desire verbs include:

I want
I wish
I (would) like
I am motivated to
I (would) enjoy

Strength rating codes for Desire have to do with how strongly the person wants to (or wants not to) make or maintain the TBC. Note that ambivalence is sometimes reflected within the same sentence.

“I mean, I want to, but I don’t want to” is coded D+3 D-3.
### Examples of DESIRE Strength Rating Codes

<table>
<thead>
<tr>
<th>Rating</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>+5</td>
<td>Absolutely - I want to get off drugs for good. I want to be clean and sober, period. I’m <em>sick</em> of smoking. It disgusts me.</td>
</tr>
<tr>
<td>+4</td>
<td>I really wish I could just cut down. I’ve just about had it with cigarettes. I’m very tired of being overweight.</td>
</tr>
<tr>
<td>+3</td>
<td>I’d like to get free of dope. I wish I could just snap my fingers and lose 40 pounds. I just want to wake up sober in the morning.</td>
</tr>
<tr>
<td>+2</td>
<td>Mostly I want to quit. Yeah, probably I do need to eat better. Part of me wants to exercise.</td>
</tr>
<tr>
<td>+1</td>
<td>I guess I’d like to smoke less. I sort of wish I hadn’t started using coke. I’m a little bit tired of the drug scene.</td>
</tr>
<tr>
<td>-1</td>
<td>I kind of enjoy smoking. I guess I’m not very motivated to exercise. A little of me would miss the booze.</td>
</tr>
<tr>
<td>-2</td>
<td>Pretty much, yes, I like drinking. For the most part I enjoy eating whatever I like. Probably I want to keep on smoking.</td>
</tr>
<tr>
<td>-3</td>
<td>I just want people to mind their own business and not hassle me about using drugs. I do enjoy a good Scotch. I don’t want to quit.</td>
</tr>
<tr>
<td>-4</td>
<td>I really don’t want to quit. I really like pot. I’m very happy the way I am. I really like the whole ritual of doing it, you know.</td>
</tr>
<tr>
<td>-5</td>
<td>No way. I’m not interested in quitting. Forget it. I’m definitely no teetotaler.</td>
</tr>
</tbody>
</table>
N: Need

Statements of Need indicate a necessity, urgency, or requirement (for change or non-change). Usually they do not contain specific reasons, but express a general level of Need. For example:

I really need to lose weight is coded N+
but I need to lose weight for my health is coded R+

When in doubt, and specific reason is stated or implied, R takes precedence over N. If the two utterances are separated, as by a conjunction, then both can be coded:

I really need to lose weight, and it would help my health is coded N+ R+

Common Need verbs include:

I need
I must
I have to
I’ve got to
I can’t keep . . . .

Strength rating codes for Need have to do with how strongly the person needs to make or maintain the TBC (or needs to maintain the status quo). Note that ambivalence is sometimes reflected within the same sentence.

I need heroin, but I’ve got to quit is coded N-3 N+3.

Note that “but” usually signals a reversal of valence, and so often separates + and - codes.
**Examples of Need Strength Rating Codes**

<table>
<thead>
<tr>
<th>Rating</th>
<th>Text</th>
</tr>
</thead>
<tbody>
<tr>
<td>+5</td>
<td>I definitely have to get off the street.</td>
</tr>
<tr>
<td></td>
<td><em>I can’t go on crashing like this!</em> (Vocal emphasis on can’t)</td>
</tr>
<tr>
<td></td>
<td>I absolutely have to lose weight.</td>
</tr>
<tr>
<td>+4</td>
<td>I really have to quit getting messed up like this.</td>
</tr>
<tr>
<td></td>
<td>I need very much to be sober.</td>
</tr>
<tr>
<td></td>
<td>It’s really important for me to stop this nonsense.</td>
</tr>
<tr>
<td>+3</td>
<td>I can’t just keep on having these one-nighters.</td>
</tr>
<tr>
<td></td>
<td>I’ve got to do something about my drinking.</td>
</tr>
<tr>
<td></td>
<td>I have to clean up my act.</td>
</tr>
<tr>
<td>+2</td>
<td>Mostly, I can’t keep on having these one-nighters.</td>
</tr>
<tr>
<td></td>
<td>Probably I need to do something about my drinking.</td>
</tr>
<tr>
<td></td>
<td>It’s pretty important for me to clean up my act.</td>
</tr>
<tr>
<td>+1</td>
<td>I guess I need to cut down.</td>
</tr>
<tr>
<td></td>
<td>I kind of have to clean up my act.</td>
</tr>
<tr>
<td></td>
<td>I probably need to do a little something about my drinking.</td>
</tr>
<tr>
<td>-1</td>
<td>I sort of have to drink.</td>
</tr>
<tr>
<td></td>
<td>I guess I don’t think I need to quit.</td>
</tr>
<tr>
<td></td>
<td>I need a little bit of dope to live.</td>
</tr>
<tr>
<td>-2</td>
<td>Mostly, I have to drink.</td>
</tr>
<tr>
<td></td>
<td>I guess I need some of this excitement in my life.</td>
</tr>
<tr>
<td></td>
<td>I kind of have to keep dealing drugs.</td>
</tr>
<tr>
<td>-3</td>
<td>I can’t go without cocaine.</td>
</tr>
<tr>
<td></td>
<td>I won’t make it unless I have my pills.</td>
</tr>
<tr>
<td></td>
<td>I need to smoke.</td>
</tr>
<tr>
<td>-4</td>
<td>I really can’t do without cocaine.</td>
</tr>
<tr>
<td></td>
<td>It would be very hard for me to get along without my pills.</td>
</tr>
<tr>
<td></td>
<td>I very much need to smoke.</td>
</tr>
<tr>
<td>-5</td>
<td>I definitely have to have my pain pills.</td>
</tr>
<tr>
<td></td>
<td>There’s no way I can go through that withdrawal again. The last time was horrible.</td>
</tr>
<tr>
<td></td>
<td>I need cigarettes, period!</td>
</tr>
</tbody>
</table>
R: Reasons

Statements of Reasons usually specify a particular rationale, basis, incentive, justification, or motive for making (or not making) the TBC. Even though such statements may also contain Desire and Need language, they are coded as R unless the D or N component is separate from the Reason. When in doubt, and a specific Reason is stated or implied, R takes precedence over D or N. If the two utterances are separated (by a conjunction, or as different sentences), however, then both can be coded:

- I need to lose weight for my health is coded only R+
- I really need to lose weight. It would really help my health is coded N+ R+
- I want to lose weight so that I can fit into my clothes. is coded only R+
- I want to lose weight, and I’d like to fit into my clothes. is coded D+ R+

Separation is not provided by words that imply a causal link: because, so (that), or else, etc. In fact, these imply that the Reason motivates the stated need or desire.

- I want to quit using speed because it gets me in trouble. is coded only R+
- I want to quit using speed. It gets me into trouble. is coded D+ R+
- I need to quit drinking so I can get my kids back. is coded only R+
- I need to quit drinking. I want my kids back. is coded N+ R+

Often Reasons are just stated directly, without particular linguistic markers. In other cases, the person links the Reason to Desire or Need through words such as “because” or “so that.”

Strength rating codes for Reasons have to do with how strong the person’s reasons are for change (or for status quo). The default code for a straightforward statement of a Reason is ±3. Context, modifiers and vocal emphasis may adjust the strength rating up or down from there. Of course, the same client statement can include reasons for as well as reasons against change. In fact, this is common.

- It would be really bad if pot turned up on a urine test again, but smoking is pretty much how I relax. is coded R+4 R-2

Finally, there are Reason statements that do not specify particular pros or cons. The language clearly points to Reasons (rather than generic Desire or Need) that are not specifically stated.

- There are a lot of reasons why I need to quit. is coded R+4
- I just don’t see enough benefit to exercise. is coded R-3
- Why should I give up dealing? is coded R-3
### Examples of Reason Strength Rating Codes

<table>
<thead>
<tr>
<th>Rating</th>
<th>Reason</th>
</tr>
</thead>
<tbody>
<tr>
<td>+5</td>
<td>I definitely can’t afford to get another DWI. There’s no way I want to go back to jail because of a urine test. Something <em>has</em> to change or I’m going to lose my job. (Vocal tone emphasizes <em>has to</em>)</td>
</tr>
<tr>
<td>+4</td>
<td>I’ll be in a lot of trouble if I turn in another positive urine. I really can’t afford to get another DWI. I’m right on the brink of losing my job and my retirement.</td>
</tr>
<tr>
<td>+3</td>
<td>If I lose a lot of money again, my husband is going to divorce me. I don’t want to set the wrong example for my kids. It’s embarrassing not to remember what I did.</td>
</tr>
<tr>
<td>+2</td>
<td>The reasons to quit are starting to pile up. If I lose money again, my husband is probably going to leave me. It’s fairly embarrassing not to remember what I did.</td>
</tr>
<tr>
<td>+1</td>
<td>I’d be a little healthier if I exercised. I guess I’d be healthier if I exercised. I’m sort of embarrassed when I can’t remember what I did.</td>
</tr>
<tr>
<td>-1</td>
<td>I guess it relaxes me some. I’d kind of miss going to the casinos. It’s sort of how I meet people.</td>
</tr>
<tr>
<td>-2</td>
<td>Mostly I just don’t see any benefits to quitting. Pretty much all my friends are gamblers. I probably would have trouble sleeping without it.</td>
</tr>
<tr>
<td>-3</td>
<td>Why should I give up drinking? I just don’t see how my drinking is a problem. Why would I want to change? I have my reasons for what I do. Gambling gives me something to do during the day.</td>
</tr>
<tr>
<td>-4</td>
<td>I get very relaxed and my problems go away. It’s really the only way I have to meet people and make friends. I get very depressed when I don’t go to the pub.</td>
</tr>
<tr>
<td>-5</td>
<td>I positively can’t get off heroin because my boyfriend always wants me to fix with him. There’s no way I can work the streets and not do drugs. You’ve got to be high. I definitely can’t stand the pain if I don’t have the pills.</td>
</tr>
</tbody>
</table>
T: Taking Steps

Finally, clients sometimes state that they have taken specific behavioral steps toward or away from change. Statements of Taking Steps usually describe a particular action that the person has done in the recent past that is clearly linked to moving toward or away from TBC. These statements do not in themselves express a direct Ability, Commitment, Desire, Need or Reason for TBC or status quo. The action may not be TBC itself. For example, if TBC is reduction in alcohol use:

I got rid of all the alcohol from my house this week. is coded T+
I went to two AA meetings this week. is coded T+
but I didn’t drink this week is not coded as T

Similarly:

I bought a six-pack of beer this week is coded as T-
I stopped going to AA this week is coded as T-
but I drank this week is not coded as T

To be coded as T, the behavior must clearly be one that is intended by the client to lead to (or away from) TBC. It is an intermediate response on the way to (or away from) TBC. T responses can be coded along with other change talk responses. For example:

I decided to quit drinking, and I got rid of all the alcohol from my hours this week is coded C+   T+
I went to two AA meetings this week, because I want to stay sober is coded T+   D+

Note that statements that the person will take such intermediate actions in the future are coded not as Taking Steps (the person hasn’t done it yet), but as Commitment. If the TBC is weight loss:

I tried cooking without butter is coded T+
but I’m going to try cooking without butter is coded C+

Strength ratings for Taking Steps have to do with the affirmative strength of the action. The default code for a straightforward statement of an action taken is ±3.

5   A superlative modifier intensifies emphasis on the action (totally, definitely, completely)
4   A modifier increases emphasis on the action (really, very, actually)
3   A simple statement of an action taken toward or away from TBC
2   A diminutive modifier detracts from an action taken (sort of, kind of, a little)
1   The person contemplated an intermediate action but did not carry it out (thought about, almost, nearly, considered)

Remember that in order to code T, the action taken must not be the Target Behavior Change (outcome variable) itself.
### Examples of Taking Steps Strength Rating Codes

<table>
<thead>
<tr>
<th>Rating</th>
<th>Description</th>
</tr>
</thead>
</table>
| +5     | I was no way I was going to an AA meeting this week.  
        | I totally cleaned the cigarettes out of my house and car yesterday.  
        | I was particularly careful to keep good records this week. |
| +4     | I really worked on keeping good record cards this week.  
        | I was very conscious of staying away from my drug-dealer friends this week.  
        | I actually tested my blood sugar every day this week. |
| +3     | I stayed away from casinos all week.  
        | I began exercising on Wednesday.  
        | I bought a jogging suit and a good pair of shoes. |
| +2     | I was a little better about keeping food records this week.  
        | I did a few things to eat better.  
        | I was somewhat more active this week. |
| +1     | I considered going to an AA meeting.  
        | I thought about smoking only outside, not in the house or car.  
        | I almost joined a gym this week. |
| -1     | I thought about dropping out of Weight Watchers.  
        | I nearly went into a casino on Tuesday.  
        | I got this close to buying a bottle. |
| -2     | I was kind of careless about monitoring my blood sugar.  
        | I ate a little too much.  
        | I sort of slacked off on the exercise. |
| -3     | I didn’t take my Antabuse.  
        | I went to the casino.  
        | I bought a pack of cigarettes. |
| -4     | I really overdid it.  
        | I was very bad with my diet this week.  
        | I was pretty careless about taking my medication. |
| -5     | I totally blew it this week in watching my diet.  
        | I completely slacked off on exercise.  
        | I definitely messed up with keeping records. |
References

